



Date: December 31, 2024

To

Manager Listing Department/ Department of Corporate Relations BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 Scrip Code: 533344	To Manager National Stock Exchange of India Limited Exchange Plaza, C-1, Block G, Bandra- Kurla Complex, Bandra (East), Mumbai- 400051 Scrip Symbol: PFS
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Sub: Sub: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended from time to time (Listing Regulations)

Dear Sir /Madam,

Further to company filing dated June 28, 2023 & pursuant to Regulation 30 read with Schedule III of SEBI (LODR) Regulations, 2015, we wish to inform you that the Regional Director(North), MCA vide Interim Orders no. RDNR/PTC FINANCIAL SERVICES/COMP/177(4)/F64023138/10821 dated 30th December 2024 has levied a compounding fee of Rs 2,00,000/- (Rupees two lakhs only) on the Company for violation of section 177(4)(v) and (vii) of the Companies Act 2013 during the year 2017-18 and that the same has been paid on 31st December 2024.

The requisite details as required under the Listing Regulations and SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P /CIR/2023/123 dated July 13, 2023 are enclosed herewith as Annexure -A. You are requested to take the above information on your record.

It is further informed that we don't see any adverse impact on our financial, operational or other activities of our Company.

The above disclosure is made as a good governance practice and is not considered as material.

This letter is available on the website of the Company at www.ptcfinancial.com.

This is for your information and records.

For **PTC India Financial Services Limited**

Manohar Balwani
Company Secretary

PTC India Financial Services Ltd. (CIN: L65999DL2006PLC153373)

(A subsidiary of PTC India Limited)

Registered Office: 7th Floor, Telephone Exchange Building, 8 Bhikaji Cama Place, New Delhi - 110 066, India

Board: +91 11 26737300 / 26737400 Fax: 26737373 / 26737374, Website: www.ptcfinancial.com, E-mail: info@ptcfinancial.com

Annexure-A

a)	Name of the Authority	Regional Director(North), MCA
b)	Nature and details of the action(s) taken, initiated or order(s) passed	levied a compounding fee of Rs 2,00,000/- (Rupees two lakhs only) on the Company
c)	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority:	30th December 2024
d)	Details of the violation(s)/contravention(s) committed or alleged to be committed:	violation of section 177(4)(v) and (vii) of the Companies Act 2013 <u>during the year 2017-18</u>
e)	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	we don't see any adverse impact on the financial, operational or other activities of our Company
f)	Other relevant details, if any	--

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